

Strong contrasting diffusivity in general oscillating domains: Homogenization of optimal control problems

Abu Sufian

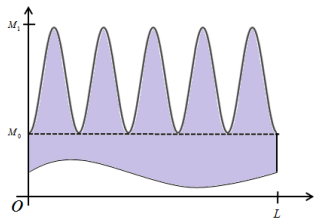
Joint work with Prof. A. K. Nandakumaran

Department of Mathematics
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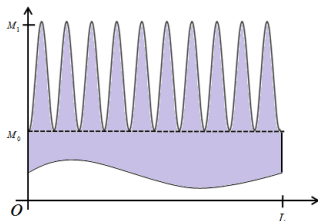
KAAS seminar, Karlstad university,
Sweden

September 8, 2021

Oscillating domain

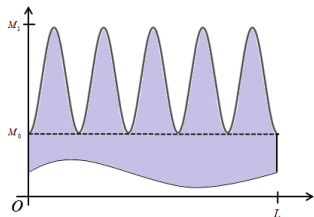


$$\varepsilon = \frac{1}{5}$$

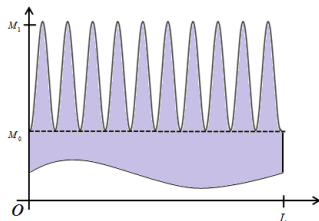


$$\varepsilon = \frac{1}{10}$$

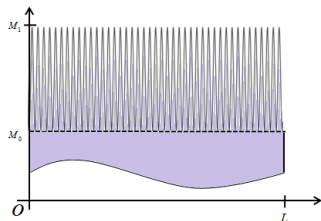
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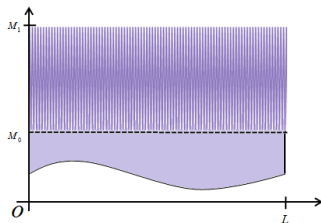
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$$\varepsilon = \frac{1}{10}$$



$$\varepsilon = \frac{1}{50}$$



$$\varepsilon = \frac{1}{100}$$

Introduction: Strong contrasting diffusivity

- Partial differential equations (PDEs) with strong contrasting diffusivity are appeared in several context such as: modeling of several multi-scale physical problems such as the double porosity model, effective properties of composite material with soft and hard core, effective conductivity of composites made of materials having high and low conductivities, etc.

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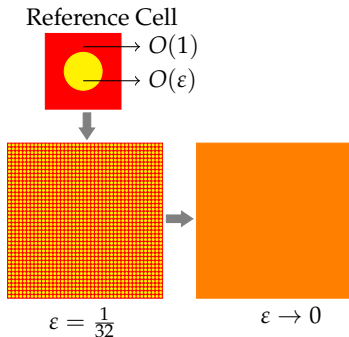


Figure 1: Composite material

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To be more precised, they have considered domain like the following;

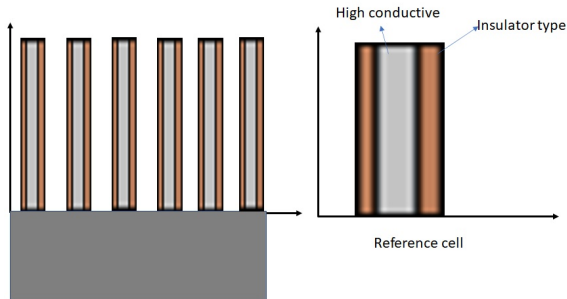


Figure 2: Pillar type oscillating domain

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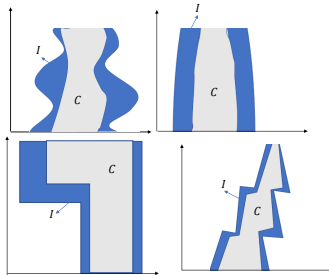


Figure 3: Typical example of reference cells

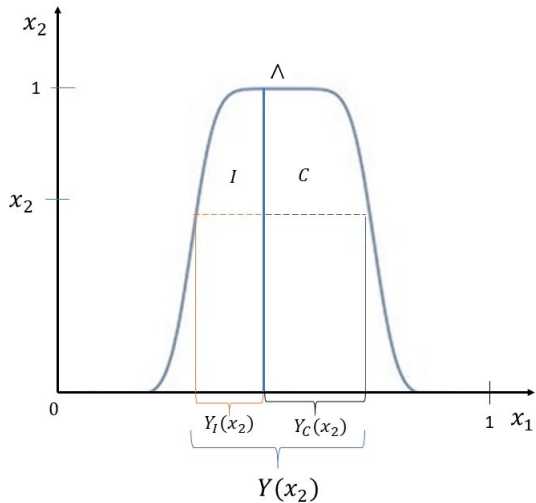


Figure 4: Reference cell

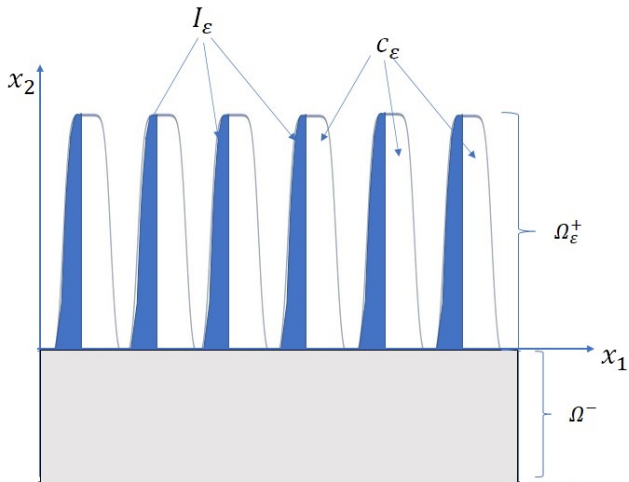


Figure 5: Oscillating domain

- Let $\Lambda \subset (0,1) \times (0,1)$ (it is just for simplicity, one can consider $(0,L) \times (0,L)$ for any $L > 0$) and $C, I \subset \Lambda$. We divide Λ into two components C and I , that is $\bar{\Lambda} = \bar{C} \cup \bar{I}$, $C \cap I = \emptyset$ (empty set) and satisfies the following properties:

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We assume that there exists $\delta > 0$, such that the $|Y(x_2)|, |Y_C(x_2)|, |Y_I(x_2)| > \delta$ for all $x_2 \in (0, 1)$.

For $\varepsilon = \frac{1}{m}$ where $m \in \mathbb{Z}^+$, (in fact, one can take any $\varepsilon \rightarrow 0$) define

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- We want to consider the following ε dependent variational problem,

$$\begin{cases} \text{find } u_\varepsilon \in H^1(\Omega_\varepsilon) \text{ such that} \\ \int_{\Omega_\varepsilon} (\chi_{\Omega^-} + \chi_{C_\varepsilon} + \varepsilon^2 \chi_{I_\varepsilon}) \nabla u_\varepsilon \nabla \phi + \int_{\Omega_\varepsilon} u_\varepsilon \phi = \int_{\Omega_\varepsilon} f \phi, \end{cases} \quad (1)$$

for all $\phi \in H^1(\Omega_\varepsilon)$, where $f \in L^2(\Omega)$.

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- Our aim is to analyze the asymptotic behavior of the above variational form as the oscillating parameter $\varepsilon \rightarrow 0$.

Unfolding operator and properties

The unfolded domain corresponding to the upper part Ω_ε^+ is given by

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Definition.

(The unfolding operator) Let $\phi^\varepsilon : \Omega^u \rightarrow \Omega_\varepsilon^+$ be defined as $\phi^\varepsilon(x_1, x_2, y_1) = (\varepsilon \left[\frac{x_1}{\varepsilon} \right] + \varepsilon y_1, x_2)$. The ε -unfolding of a function $u : \Omega_\varepsilon^+ \rightarrow \mathbb{R}$ is the function $u \circ \phi^\varepsilon : \Omega^u \rightarrow \mathbb{R}$. The operator which maps every function $u : \Omega_\varepsilon^+ \rightarrow \mathbb{R}$ to its ε -unfolding is called the unfolding operator. Let the unfolding operator be denoted by T^ε , that is,

$$T^\varepsilon : \{u : \Omega_\varepsilon^+ \rightarrow \mathbb{R}\} \rightarrow \{T^\varepsilon u : \Omega^u \rightarrow \mathbb{R}\}$$

is defined by

$$T^\varepsilon u(x_1, x_2, y_1) = u \left(\varepsilon \left[\frac{x_1}{\varepsilon} \right] + \varepsilon y_1, x_2 \right) \text{ for all } (x_1, x_2, y_1) \in \Omega^u.$$

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For each $\varepsilon > 0$,

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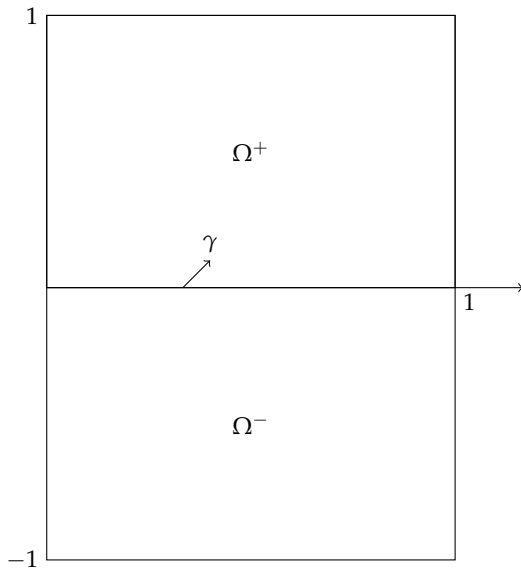
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- Let, for every $\varepsilon > 0$, $u_\varepsilon \in L^2(\Omega_\varepsilon^+)$ be such that $T^\varepsilon u_\varepsilon \rightharpoonup u$ weakly in $L^2(\Omega^u)$.
Then,

$$\tilde{u}_\varepsilon \rightharpoonup \int_{Y(x_2)} u(x_1, x_2, y_1) dy_1 \text{ weakly in } L^2(\Omega^+).$$

Limit domain



Limit function spaces

For any function ϕ defined on Ω , we may write
 $\phi = \phi^+ \chi_{\Omega^+} + \phi^- \chi_{\Omega^-} = (\phi^+, \phi^-)$ throughout the presentation.

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$H(\Omega) = \{ \phi : \phi^+ \in L^2((0,1); H^1(0,1)), \phi^- \in H^1(\Omega^-), \phi^+ = \phi^- \text{ on } \gamma \}$ with the following norm

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- For any $x_2 \in (0,1)$, define $V^{x_2} = \{w \in H^1(Y(x_2)) : w = 0 \text{ a.e. in } Y_c(x_2)\}$ with the following norm

$$\|w\|_{V^{x_2}} = \|w\|_{L^2(Y(x_2))} + \left\| \frac{\partial w}{\partial y_1} \right\|_{L^2(Y(x_2))}. .$$

Limit function spaces

For any function ϕ defined on Ω , we may write

$\phi = \phi^+ \chi_{\Omega^+} + \phi^- \chi_{\Omega^-} = (\phi^+, \phi^-)$ throughout the presentation.

■ Define

$H(\Omega) = \{\phi : \phi^+ \in L^2((0,1); H^1(0,1)), \phi^- \in H^1(\Omega^-), \phi^+ = \phi^- \text{ on } \gamma\}$ with the following norm

$$\|\phi\|_{H(\Omega)} = \|\phi^-\|_{H^1(\Omega^-)} + \|\phi^+\|_{L^2(\Omega^+)} + \left\| \frac{\partial \phi^+}{\partial x_2} \right\|_{L^2(\Omega^+)}.$$

■ For any $x_2 \in (0,1)$, define $V^{x_2} = \{w \in H^1(Y(x_2)) : w = 0 \text{ a.e. in } Y_c(x_2)\}$ with the following norm

$$\|w\|_{V^{x_2}} = \|w\|_{L^2(Y(x_2))} + \left\| \frac{\partial w}{\partial y_1} \right\|_{L^2(Y(x_2))}.$$

■ $V(\Omega) = \left\{ \psi \in L^2(\Omega^u) : \psi = 0 \text{ in } \Omega_c^u, \frac{\partial \psi}{\partial y_1} \in L^2(\Omega^u) \right\}$ with the following norm

$$\|\psi\|_{V(\Omega)} = \|\psi\|_{L^2(\Omega^u)} + \left\| \frac{\partial \psi}{\partial y_1} \right\|_{L^2(\Omega^u)}.$$

The limit variational problem :

$$\left\{ \begin{array}{l} \text{find } u = (u^+, u^-) \in H(\Omega) \text{ such that} \\ \int_{\Omega^+} |Y_c(x_2)| \frac{\partial u^+}{\partial x_2} \frac{\partial \phi}{\partial x_2} + \int_{\Omega^+} \alpha(x) u^+ \phi + \int_{\Omega^-} u^- \phi \\ \quad + \int_{\Omega^-} \nabla u^- \nabla \phi = \int_{\Omega^+} \alpha(x) f \phi + \int_{\Omega^-} f \phi, \end{array} \right.$$

for all $\phi \in H(\Omega)$,

The limit variational problem :

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The limit variational problem :

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for all $\phi \in H(\Omega)$, here $\alpha(x) = \left(|Y(x_2)| - \int_{Y_1(x_2)} \zeta dy_1 \right)$, where

$$\left\{ \begin{array}{l} \zeta(x_2, \cdot) \in V^{x_2} \\ \int_{Y(x_2)} \frac{\partial \zeta(x_2, y_1)}{\partial y_1} \frac{\partial w(y_1)}{\partial y_1} + \int_{Y(x_2)} \zeta(x_2, y_1) w(y_1) = \int_{Y(x_2)} w(y_1), \end{array} \right.$$

for all $w \in V^{x_2}$.

Theorem.

For every $\varepsilon > 0$, let u_ε be the unique solution to the considered variational problem. Let $H(\Omega)$ and V^{x_2} be defined as earlier and $u = (u^+, u^-) \in H(\Omega)$ be the unique solution of the limit variational form.

Theorem.

For every $\varepsilon > 0$, let u_ε be the unique solution to the considered variational problem. Let $H(\Omega)$ and V^{x_2} be defined as earlier and $u = (u^+, u^-) \in H(\Omega)$ be the unique solution of the limit variational form. Then

$$\begin{cases}
 u_\varepsilon^- \rightharpoonup u^- \text{ weakly in } H^1(\Omega^-), \\
 \left\{ \begin{array}{l}
 \widetilde{u}_\varepsilon^+ \rightharpoonup |Y(x_2)|u^+ + \int_{Y_1(x_2)} (f - u^+) \zeta(x_2, y_1) dy_1 \\
 \chi_{c_\varepsilon}^+ \frac{\partial \widetilde{u}_\varepsilon^+}{\partial x_1} \rightharpoonup 0, \quad \chi_{c_\varepsilon}^+ \frac{\partial \widetilde{u}_\varepsilon^+}{\partial x_2} \rightharpoonup |Y_c(x_2)| \frac{\partial u^+}{\partial x_2} \\
 \varepsilon \chi_{l_\varepsilon}^+ \frac{\partial \widetilde{u}_\varepsilon^+}{\partial x_1} \rightharpoonup (f - u^+) \int_{Y_1(x_2)} \frac{\partial \zeta}{\partial y_1} dy_1, \quad \varepsilon \chi_{l_\varepsilon}^+ \frac{\partial \widetilde{u}_\varepsilon^+}{\partial x_2} \rightharpoonup 0 \\
 \text{weakly in } L^2(\Omega^+)
 \end{array} \right.
 \end{cases}$$

as $\varepsilon \rightarrow 0$.

- By taking $\phi = u_\varepsilon$ as a test function to get

$$\begin{aligned} \|\chi_{C_\varepsilon^+} \nabla u_\varepsilon\|_{L^2(\Omega_\varepsilon^+)} + \varepsilon \|\chi_{I_\varepsilon^+} \nabla u_\varepsilon\|_{L^2(\Omega_\varepsilon^+)} + \|\nabla u_\varepsilon\|_{L^2(\Omega^-)} \\ + \|u_\varepsilon\|_{L^2(\Omega_\varepsilon^+)} + \|u_\varepsilon\|_{L^2(\Omega^-)} \leq \|f\|_{L^2(\Omega_\varepsilon)} \end{aligned}$$

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■

$$\begin{aligned} \|T^\varepsilon(\chi_{C_\varepsilon^+} \nabla u_\varepsilon)\|_{L^2(\Omega^u)} + \|T^\varepsilon(\varepsilon \chi_{I_\varepsilon^+} \nabla u_\varepsilon)\|_{L^2(\Omega^u)} + \|\nabla u_\varepsilon\|_{L^2(\Omega^-)} \\ + \|T^\varepsilon(u_\varepsilon)\|_{L^2(\Omega^u)} + \|u_\varepsilon\|_{L^2(\Omega^-)} \leq \|f\|_{L^2(\Omega_\varepsilon)} \end{aligned}$$

- Observe that

$$\|\nabla u_\varepsilon\|_{L^2(C_\varepsilon^+)} \leq k, \quad \|\nabla u_\varepsilon\|_{L^2(I_\varepsilon^+)} \leq k\varepsilon^{-1},$$

where k is a generic constant. In essence, we do not have the uniform bound on the gradient, which is not surprising as the bound inversely depends on the ellipticity constant.

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- By the properties of unfolding operator and weak compactness of $H^1(\Omega^-)$ and $L^2(\Omega^u)$ there exist $u^- \in H^1(\Omega^-)$, $u_0(x, y_1) \in L^2(\Omega^u)$, $\eta(x, y_1) = (\eta_1, \eta_2)$ and $z(x, y_1) = (z_1, z_2) \in (L^2(\Omega^u))^2$ such that
- $u_\varepsilon \rightharpoonup u^-$ weakly in $H^1(\Omega^-)$

- Observe that

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- $u_\varepsilon \rightharpoonup u^-$ weakly in $H^1(\Omega^-)$
- $T^\varepsilon(u_\varepsilon^+) \rightharpoonup u_0(x, y_1)$ weakly in $L^2(\Omega^\mu)$

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 - $T^\varepsilon(\chi_{C_\varepsilon^+}(\nabla u_\varepsilon)) = T_C^\varepsilon(\nabla u_\varepsilon) \rightharpoonup \chi_C(y_1, x_2)(\eta_1, \eta_2)$ weakly in $(L^2(\Omega_C^u))^2$

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 - $T^\varepsilon(\varepsilon\chi_{I_\varepsilon^+}\nabla u_\varepsilon) \rightharpoonup \chi_I(y_1, x_2)z(x, y_1) = \chi_I(y_1, x_2)(z_1, z_2)$ weakly $(L^2(\Omega^u))^2$

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In the remaining steps, we identify $u_0, \eta_1, \eta_2, z_1, z_2$ and get properties enjoyed by these functions.

- u_0 is independent of y_1 in Ω_c^u and the existence of $u^+ \in L^2(\Omega^+)$, $u_1 \in L^2(\Omega^u)$ with $u_1 = 0$ a.e. in Ω_c^u

$$u_0(x, y) = u^+(x) + u_1(x, y_1).$$

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$$u_0(x, y) = u^+(x) + u_1(x, y_1).$$

This follows from $T_c^\varepsilon u_\varepsilon \rightharpoonup u_0(x, y_1)|_{\Omega_c^u}$ weakly in $L^2(\Omega_c^u)$, and

$$\frac{\partial}{\partial y_1} T_c^\varepsilon u_\varepsilon^+ = \varepsilon T_c^\varepsilon \left(\frac{\partial u_\varepsilon}{\partial x_1} \right).$$

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- u_0 is independent of y_1 in Ω_C^u and the existence of $u^+ \in L^2(\Omega^+)$, $u_1 \in L^2(\Omega^u)$ with $u_1 = 0$ a.e. in Ω_C^u

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- $\frac{\partial u^+}{\partial x_2} \in L^2(\Omega^+)$.
- $\eta_2(x, y_1) = \frac{\partial u^+}{\partial x_2}$ a.e. Ω_C^u
- $z_2(x, y_1) = 0$ a.e. in Ω_1^u
- $\eta_1 = 0$ a.e. in Ω_C^u

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- We have $z_1 = \frac{\partial u_1}{\partial y_1}$. Let $\psi_\varepsilon(x) = \psi(x, \frac{x_1}{\varepsilon})$ where $\psi \in C_c^\infty(\Omega^u)$ with 1-periodic in y_1 and $\psi = 0$ on Ω_C^u . Consider,

$$\begin{aligned} \lim_{\varepsilon \rightarrow 0} \int_{\Omega_\varepsilon^+} \varepsilon \chi_{1\varepsilon} \frac{\partial u_\varepsilon^+}{\partial x_1} \psi_\varepsilon &= \lim_{\varepsilon \rightarrow 0} \varepsilon \int_{\Omega^u} T^\varepsilon \chi_{1\varepsilon} T^\varepsilon \left(\frac{\partial u_\varepsilon^+}{\partial x_1} \right) T^\varepsilon \psi_\varepsilon \\ &= \lim_{\varepsilon \rightarrow 0} \int_{\Omega^u} \chi_1 (T^\varepsilon u_\varepsilon^+) \frac{\partial}{\partial y_1} T^\varepsilon \psi_\varepsilon \\ &= - \int_{\Omega^u} (u^+ + u_1(x, y_1)) \chi_1(y_1, x_2) \frac{\partial \psi}{\partial y_1}. \end{aligned}$$

Hence we have,

$$\int_{\Omega^u} \chi_1(y_1, x_2) z_1(x, y_1) \psi(x, y_1) = - \int_{\Omega^u} \chi_1(y_1, x_2) (u^+ + u_1(x, y_1)) \frac{\partial \psi}{\partial y_1}$$

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- We will show $u^+ = u^-$ on γ . Let $\phi \in C^\infty(\overline{\Omega_C^u})$ with $\phi = 0$ on $\partial\overline{\Omega_C^u} \setminus \gamma_C^u$. A simple integration by parts gives the following

$$\int_{\Omega_C^u} T^\varepsilon \left(\frac{\partial u_\varepsilon^+}{\partial x_2} \right) \phi dx dy_1 = - \int_{\Omega_C^u} T^\varepsilon u_\varepsilon^+ \frac{\partial \phi}{\partial x_2} dx dy_1 + \int_{\gamma_C^u} T^\varepsilon (u_\varepsilon^+) \phi.$$

Hence we have,

$$\int_{\Omega^u} \chi_1(y_1, x_2) z_1(x, y_1) \psi(x, y_1) = - \int_{\Omega^u} \chi_1(y_1, x_2) (u^+ + u_1(x, y_1)) \frac{\partial \psi}{\partial y_1}$$

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Letting $\varepsilon \rightarrow 0$, we get

$$\int_{\gamma_C^u} u^+ \phi = \int_{\gamma_C^u} u^- \phi, \text{ for all } \phi \in C^\infty(\overline{\Omega_C^u}) \text{ with } \phi = 0 \text{ on } \partial\overline{\Omega_C^u} \setminus \gamma_C^u.$$

Hence we have,

$$\int_{\Omega^u} \chi_1(y_1, x_2) z_1(x, y_1) \psi(x, y_1) = - \int_{\Omega^u} \chi_1(y_1, x_2) (u^+ + u_1(x, y_1)) \frac{\partial \psi}{\partial y_1}$$

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Letting $\varepsilon \rightarrow 0$, we get

$$\int_{\gamma_C^u} u^+ \phi = \int_{\gamma_C^u} u^- \phi, \text{ for all } \phi \in C^\infty(\overline{\Omega_C^u}) \text{ with } \phi = 0 \text{ on } \partial\overline{\Omega_C^u} \setminus \gamma_C^u.$$

Hence we have $u^+ = u^-$ on γ_C^u . Since u^+ and u^- are independent of y_1 , we have $u^+ = u^-$ on γ .

- Let $\phi_\varepsilon(x) = \phi(x) + \phi_1\left(x, \frac{x_1}{\varepsilon}\right)$ where $\phi \in C^1(\bar{\Omega})$ and $\phi_1 \in C^\infty(\bar{\Omega}^u)$ with 1 periodic in y_1 variable and $\phi_1 = 0$ on Ω_c^u .

- Let $\phi_\varepsilon(x) = \phi(x) + \phi_1(x, \frac{x_1}{\varepsilon})$ where $\phi \in C^1(\bar{\Omega})$ and $\phi_1 \in C^\infty(\bar{\Omega}^u)$ with 1 periodic in y_1 variable and $\phi_1 = 0$ on Ω_c^u .
- Now using ϕ_ε as a test function in (1), applying unfolding operator both side and letting $\varepsilon \rightarrow 0$ to get

$$\begin{aligned} \int_{\Omega_c^u} \frac{\partial u^+}{\partial x_2} \frac{\partial \phi}{\partial x_2} + \int_{\Omega_1^u} \frac{\partial u_1}{\partial y_1} \frac{\partial \phi_1}{\partial y_1} + \int_{\Omega^u} (u^+ + u_1)(\phi + \phi_1) + \int_{\Omega^-} \nabla u^- \nabla \phi \\ + \int_{\Omega^-} u^- \phi = \int_{\Omega^u} f(\phi + \phi_1) + \int_{\Omega^-} f\phi \end{aligned}$$

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- Put $\phi = 0$ in the above equality to get,

$$\int_{\Omega^+} \int_{Y(x_2)} \frac{\partial u_1}{\partial y_1} \frac{\partial \phi_1}{\partial y_1} + \int_{\Omega^+} \int_{Y(x_2)} u_1 \phi_1 = \int_{\Omega^+} \int_{Y(x_2)} (f - u^+) \phi_1.$$

- Let $\phi_\varepsilon(x) = \phi(x) + \phi_1(x, \frac{x_1}{\varepsilon})$ where $\phi \in C^1(\bar{\Omega})$ and $\phi_1 \in C^\infty(\bar{\Omega}^u)$ with 1 periodic in y_1 variable and $\phi_1 = 0$ on Ω_c^u .
- Now using ϕ_ε as a test function in (1), applying unfolding operator both side and letting $\varepsilon \rightarrow 0$ to get

$$\int_{\Omega_c^u} \frac{\partial u^+}{\partial x_2} \frac{\partial \phi}{\partial x_2} + \int_{\Omega_1^u} \frac{\partial u_1}{\partial y_1} \frac{\partial \phi_1}{\partial y_1} + \int_{\Omega^u} (u^+ + u_1)(\phi + \phi_1) + \int_{\Omega^-} \nabla u^- \nabla \phi + \int_{\Omega^-} u^- \phi = \int_{\Omega^u} f(\phi + \phi_1) + \int_{\Omega^-} f \phi$$

- Put $\phi = 0$ in the above equality to get,

$$\int_{\Omega^+} \int_{Y(x_2)} \frac{\partial u_1}{\partial y_1} \frac{\partial \phi_1}{\partial y_1} + \int_{\Omega^+} \int_{Y(x_2)} u_1 \phi_1 = \int_{\Omega^+} \int_{Y(x_2)} (f - u^+) \phi_1.$$

- Hence, using the cell problem we get,

$$u_1(x, y_1) = (f(x) - u^+(x)) \xi(x_2, y_1).$$

- Now if we put $\phi_1 = 0$ and substitute the definition of u_1 to get

$$\int_{\Omega^+} |Y_c(x_2)| \frac{\partial u^+}{\partial x_2} \frac{\partial \phi}{\partial x_2} + \int_{\Omega^+} \left(|Y(x_2)| - \int_{Y_1(x_2)} \zeta dy_1 \right) u^+ \phi +$$

$$\int_{\Omega^-} (\nabla u^- \nabla \phi + u^- \phi) = \int_{\Omega^+} \left(|Y(x_2)| - \int_{Y_1(x_2)} \zeta dy_1 \right) f \phi + \int_{\Omega^-} f \phi,$$

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- Now we will show $\alpha(x) = \left(|Y(x_2)| - \int_{Y_1(x_2)} \zeta \right) > 0$. By taking $w = \zeta$ in the cell problem, we get

- Now if we put $\phi_1 = 0$ and substitute the definition of u_1 to get

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$$\begin{aligned} \left(|Y(x_2)| - \int_{Y_1(x_2)} \zeta \right) &\geq (|Y(x_2)| - |Y_1(x_2)|^{1/2} \|\zeta\|_{L^2(Y_1(x_2))}) \\ &\geq |Y(x_2)| - |Y_1(x_2)| = |Y_c(x_2)| > \delta. \end{aligned}$$

For $\theta_\varepsilon \in L^2(C_\varepsilon)$ consider the cost functional

$$J_\varepsilon(u_\varepsilon, \theta_\varepsilon) = \frac{1}{2} \int_{\Omega_\varepsilon} |u_\varepsilon - u_d|^2 + \frac{\beta}{2} \int_{C_\varepsilon} |\theta_\varepsilon|^2$$

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where u_ε is the unique solution of the following variational problem: for $f \in L^2(\Omega)$

$$\left\{ \begin{array}{l} \text{find } u_\varepsilon \in H^1(\Omega_\varepsilon) \text{ such that} \\ \int_{\Omega_\varepsilon} (\chi_{\Omega^-} + \chi_{C_\varepsilon} + \varepsilon^2 \chi_{I_\varepsilon}) \nabla u_\varepsilon \nabla \phi + u_\varepsilon \phi = \int_{\Omega_\varepsilon} f \phi + \int_{\Omega_\varepsilon} \chi_{C_\varepsilon} \theta_\varepsilon \phi, \\ \text{for all } \phi \in H^1(\Omega_\varepsilon). \end{array} \right.$$

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The optimal control problem is to find $(\bar{u}_\varepsilon, \bar{\theta}_\varepsilon) \in H^1(\Omega_\varepsilon) \times L^2(C_\varepsilon)$ such that

$$J_\varepsilon(\bar{u}_\varepsilon, \bar{\theta}_\varepsilon) = \inf\{J_\varepsilon(u_\varepsilon, \theta_\varepsilon)\}. \quad (2)$$

- We will use the characterization of optimal control $\bar{\theta}_\varepsilon$ by introducing the adjoint state \bar{v}_ε which is the solution of the following variational form

$$\begin{cases} \text{find } \bar{v}_\varepsilon \in H^1(\Omega_\varepsilon) \text{ such that} \\ \int_{\Omega_\varepsilon} (\chi_{\Omega^-} + \chi_{C_\varepsilon} + \varepsilon^2 \chi_{I_\varepsilon}) \nabla \bar{v}_\varepsilon \nabla \phi + \bar{v}_\varepsilon \phi = \int_{\Omega_\varepsilon} (\bar{u}_\varepsilon - u_d) \phi, \end{cases} \quad (3)$$

for all $\phi \in H^1(\Omega_\varepsilon)$.

- We will use the characterization of optimal control $\bar{\theta}_\varepsilon$ by introducing the adjoint state \bar{v}_ε which is the solution of the following variational form

$$\left\{ \begin{array}{l} \text{find } \bar{v}_\varepsilon \in H^1(\Omega_\varepsilon) \text{ such that} \\ \int_{\Omega_\varepsilon} (\chi_{\Omega^-} + \chi_{c_\varepsilon} + \varepsilon^2 \chi_{I_\varepsilon}) \nabla \bar{v}_\varepsilon \nabla \phi + \bar{v}_\varepsilon \phi = \int_{\Omega_\varepsilon} (\bar{u}_\varepsilon - u_d) \phi, \end{array} \right. \quad (3)$$

for all $\phi \in H^1(\Omega_\varepsilon)$.

Theorem.

Let $(\bar{u}_\varepsilon, \bar{\theta}_\varepsilon)$ be the optimal solution to the optimal control problem (2) and \bar{v}_ε be the unique solution of (3). Then $\bar{\theta}_\varepsilon$ is characterized by

$$\bar{\theta}_\varepsilon = -\chi_{c_\varepsilon} \frac{1}{\beta} \bar{v}_\varepsilon. \quad (4)$$

Cost functional: For $\theta \in L^2(\Omega^+)$

$$J(u, \theta) = \frac{1}{2} \int_{\Omega^+} \int_{Y(x_2)} |(1 - \xi)u^+ + f\xi - u_d|^2 + \frac{1}{2} \int_{\Omega^-} |u^- - u_d|^2 \\ + \frac{\beta}{2} \int_{\Omega^+} |Y_C(x_2)| |\theta|^2$$

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Limit state equation:

$$\left\{ \begin{array}{l} \text{find } u \in H(\Omega), \text{ such that,} \\ \int_{\Omega^+} |Y_C(x_2)| \frac{\partial u^+}{\partial x_2} \frac{\partial \phi}{\partial x_2} + \int_{\Omega^+} \alpha(x) u^+ \phi + \int_{\Omega^-} u \phi + \int_{\Omega^-} \nabla u^- \nabla \phi \\ \qquad \qquad \qquad = \int_{\Omega^+} \alpha(x) f \phi + \int_{\Omega^-} f \phi + \int_{\Omega^+} |Y_C(x_2)| \theta \phi, \\ \text{for all } \phi \in H(\Omega). \end{array} \right.$$

■ $J(\bar{u}, \bar{\theta}) = \inf\{J(u, \theta)\}$

For $\theta_\varepsilon \in L^2(I_\varepsilon)$, consider the following L^2 -cost functional

$$J_\varepsilon(u_\varepsilon, \theta_\varepsilon) = \frac{1}{2} \int_{\Omega_\varepsilon} |u_\varepsilon - u_d|^2 + \frac{\beta}{2} \int_{I_\varepsilon} |\theta_\varepsilon|^2,$$

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where u_ε is the unique solution of the following variational problem: for $f \in L^2(\Omega)$

$$\left\{ \begin{array}{l} \text{find } u_\varepsilon \in H^1(\Omega_\varepsilon) \text{ such that} \\ \int_{\Omega_\varepsilon} (\chi_{\Omega^-} + \chi_{C_\varepsilon} + \varepsilon^2 \chi_{I_\varepsilon}) \nabla u_\varepsilon \nabla \phi + u_\varepsilon \phi = \int_{\Omega_\varepsilon} f \phi + \int_{\Omega_\varepsilon} \chi_{I_\varepsilon} \theta_\varepsilon \phi, \end{array} \right. \quad (5)$$

for all $\phi \in H^1(\Omega_\varepsilon)$.

For $\theta_\varepsilon \in L^2(I_\varepsilon)$, consider the following L^2 -cost functional

$$J_\varepsilon(u_\varepsilon, \theta_\varepsilon) = \frac{1}{2} \int_{\Omega_\varepsilon} |u_\varepsilon - u_d|^2 + \frac{\beta}{2} \int_{I_\varepsilon} |\theta_\varepsilon|^2,$$

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for all $\phi \in H^1(\Omega_\varepsilon)$. The optimal control problem is to find $(\bar{u}_\varepsilon, \bar{\theta}_\varepsilon) \in H^1(\Omega_\varepsilon) \times L^2(I_\varepsilon)$ such that

$$J_\varepsilon(\bar{u}_\varepsilon, \bar{\theta}_\varepsilon) = \inf \{ J_\varepsilon(u_\varepsilon, \theta_\varepsilon) : (u_\varepsilon, \theta_\varepsilon) \text{ satisfies (5)} \}. \quad (6)$$

Theorem (Characterization).

Let $(\bar{u}_\varepsilon, \bar{\theta}_\varepsilon)$ be the optimal solution to the optimal control problem (6) and \bar{v}_ε be the unique solution of the adjoint state. Then $\bar{\theta}_\varepsilon$ can be written as $\bar{\theta}_\varepsilon = -\chi_{l_\varepsilon} \frac{1}{\beta} \bar{v}_\varepsilon$.

Reduced cost functional: The L^2 -cost functional reduces to

$$J(u, u_{11}, \theta, \theta_1) = \frac{1}{2} \int_{\Omega^+} \int_{Y(x_2)} ((1 - \xi)u^+ + \xi f + u_{11} - u_d)^2 \\ + \int_{\Omega^-} (u^- - u_d)^2 + \frac{\beta}{2} \int_{\Omega^+} \int_{Y(x_2)} (\theta + \theta_1)^2$$

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Reduced state equation: The state $(\bar{u}, \bar{u}_{11}) \in H(\Omega) \times V(\Omega)$ satisfies the following system,

$$\left\{ \begin{array}{l} \int_{\Omega^+} |Y_c(x_2)| \frac{\partial u^+}{\partial x_2} \frac{\partial \phi^+}{\partial x_2} + \int_{\Omega^+} \alpha(x) u^+ \phi^+ + \int_{\Omega^-} \nabla u^- \nabla \phi^- + \int_{\Omega^-} u^- \phi \\ = \int_{\Omega^+} \int_{Y(x_2)} ((1 - \xi)f + (1 - \xi)(\theta + \theta_1)) \phi^+ + \int_{\Omega^-} f \phi^-, \\ \int_{\Omega^u} \frac{\partial u_{11}}{\partial y_1} \frac{\partial \phi_1}{\partial y_1} + \int_{\Omega^u} u_{11} \phi_1 = \int_{\Omega^u} (\theta + \theta_1) \phi_1, \end{array} \right.$$

■ $J(\bar{u}, \bar{u}_{11}, \bar{\theta}, \bar{\theta}_1) = \inf\{J(u, u_{11}, \theta, \theta_1)\}$

- Reduced adjoint state:** The adjoint state $(\bar{v}, \bar{v}_{11}) \in H(\Omega) \times V(\Omega)$ satisfies the following system,

$$\left\{ \begin{array}{l} \int_{\Omega^+} |Y_c(x_2)| \frac{\partial \bar{v}^+}{\partial x_2} \frac{\partial \phi^+}{\partial x_2} + \int_{\Omega^+} \alpha(x) \bar{v}^+ \phi^+ + \int_{\Omega^-} \nabla v^- \nabla \phi^- + \int_{\Omega^-} u^- \phi^- \\ = \int_{\Omega^-} (\bar{u}^- - u_d) \phi^- + \int_{\Omega^+} \int_{Y(x_2)} \left[(1 - \xi)^2 \bar{u}^+ + \xi(1 - \xi) f \right] \phi^+ \\ \quad + \int_{\Omega^+} \int_{Y(x_2)} \left[(1 - \xi) \bar{u}_{11} - (1 - \xi) u_d \right] \phi^+, \\ \int_{\Omega^u} \frac{\partial \bar{v}_{11}}{\partial y_1} \frac{\partial \phi_1}{\partial y_1} + \int_{\Omega^u} \bar{v}_{11} \phi_1 = \int_{\Omega^u} \left[(1 - \xi) \bar{u}^+ + \xi f + \bar{u}_{11} - u_d \right] \phi_1, \end{array} \right.$$

for all $(\phi, \phi_1) \in H(\Omega) \times V(\Omega)$.

- Reduced adjoint state:** The adjoint state $(\bar{v}, \bar{v}_{11}) \in H(\Omega) \times V(\Omega)$ satisfies the following system,

$$\left\{ \begin{aligned} & \int_{\Omega^+} |Y_c(x_2)| \frac{\partial \bar{v}^+}{\partial x_2} \frac{\partial \phi^+}{\partial x_2} + \int_{\Omega^+} \alpha(x) \bar{v}^+ \phi^+ + \int_{\Omega^-} \nabla v^- \nabla \phi^- + \int_{\Omega^-} u^- \phi^- \\ & = \int_{\Omega^-} (\bar{u}^- - u_d) \phi^- + \int_{\Omega^+} \int_{Y(x_2)} \left[(1 - \xi)^2 \bar{u}^+ + \xi(1 - \xi) f \right] \phi^+ \\ & \quad + \int_{\Omega^+} \int_{Y(x_2)} \left[(1 - \xi) \bar{u}_{11} - (1 - \xi) u_d \right] \phi^+, \\ & \int_{\Omega^u} \frac{\partial \bar{v}_{11}}{\partial y_1} \frac{\partial \phi_1}{\partial y_1} + \int_{\Omega^u} \bar{v}_{11} \phi_1 = \int_{\Omega^u} \left[(1 - \xi) \bar{u}^+ + \xi f + \bar{u}_{11} - u_d \right] \phi_1, \end{aligned} \right.$$







for all $(\phi, \phi_1) \in H(\Omega) \times V(\Omega)$.

- The optimal control is given by $\bar{\theta} + \bar{\theta}_1 = -\frac{1}{\beta} [(1 - \xi) \bar{v}^+ + \bar{v}_{11}]$ in Ω_1^u .

In the above variational problem we have considered the contrasting diffusive coefficients as 1 and ε^2 . In fact, we can consider the coefficient of the form $O(1)$ and α_ε^2 , where $\alpha_\varepsilon \rightarrow 0$ as $\varepsilon \rightarrow 0$. According to the limit $k = \lim_{\varepsilon \rightarrow 0} \frac{\alpha_\varepsilon}{\varepsilon}$, we will get three different limit problems for, $k = 0, k = \infty$ and $k \in (0, \infty)$.

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Thank you for your attention!