



On the Modeling and Homogenization of Bainitic Phase Transformations in Steel

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WG Modeling and PDEs

- Group Leader: Prof. Michael Böhm
- Scientific Staff: Dr. Michael Wolff, Martin Höpker, Simon Grützner, Michael Eden
- Principles of field theory (M. Böhm)
- Multi-scale modeling/thermodynamics on interfaces (M. Wolff)
- Extension operators for Sobolev functions on periodic domains (M. Höpker)
- Damage models and parameter identification for damaged continua (S. Grützner)

Contents

- 1 Motivation
- 2 Modeling
- 3 Analysis
- 4 Homogenization
- 5 Future Work

Overview

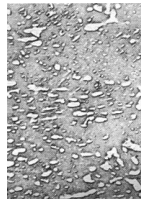
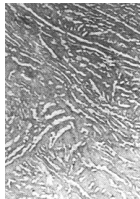
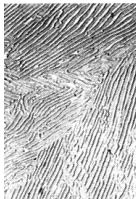
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Motivation

- **Steel:** alloy of *Iron*, *Carbon* (and other elements)
- Characterized by a complex multiscale material behaviour



Standard steel coil



Different steel microstructures

Motivation behind my Research

- Macroscopic mechanical properties of materials like steel are often influenced/affected by microscale effects.
 - Prominent examples for
 - **microscale** phenomenons: *phase transformations*, *dislocations*, *crystal orientation*,
 - **macroscale** phenomenons: *crystal plasticity*, *transformation induced plasticity (TRIP)*,
- ~> (very general) **Goal/Idea**: Investigate **connections** of those phenomenons via homogenization, especially:
- *(Bainitic) phase transformations* \leftrightarrow *TRIP*

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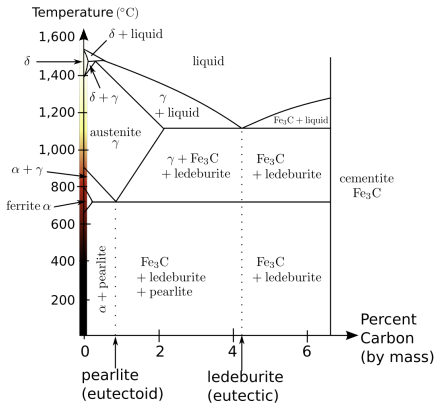
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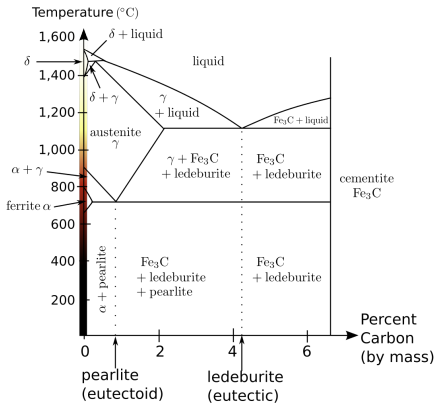
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Microstructures/Phases

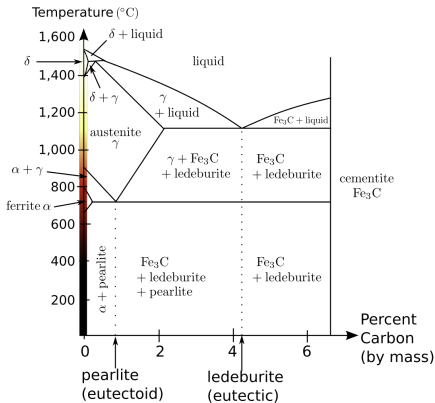


Microstructures/Phases



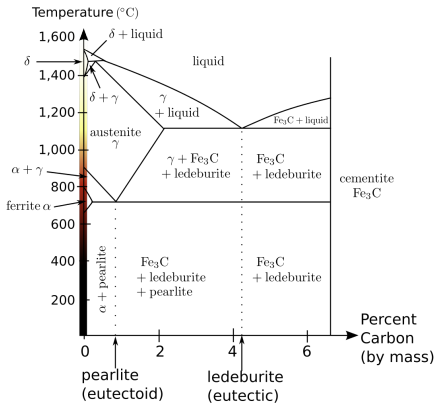
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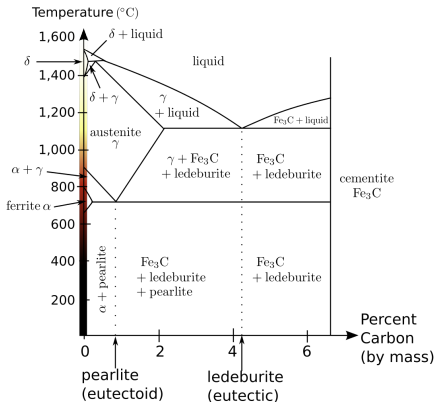
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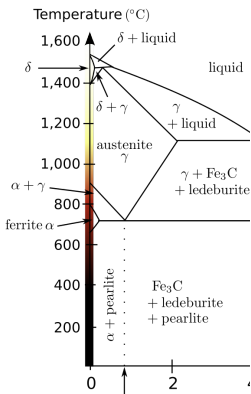
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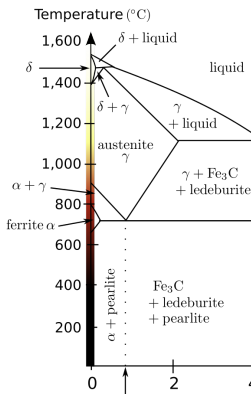
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- ↪ Non-equilibrium micro structures!

Bainite



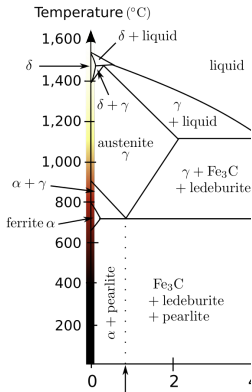
- Non-equilibrium micro structure,
- consists of *cementite* (Fe_3C) and *ferrite*,
- forms from undercooled (range 250-550°C) *austenite* when (significant) diffusion of iron is not possible anymore,

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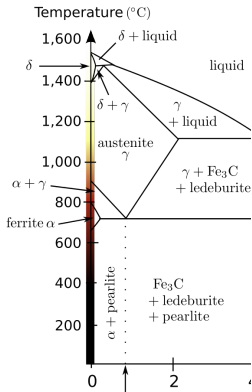
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- Process (1) is naturally connected to mechanical stresses.

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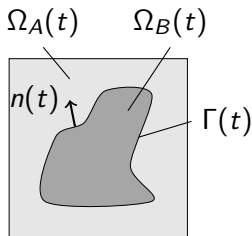
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General Setting

- Two phases present, Austenite, $\Omega_A(t)$, and Bainite, $\Omega_B(t)$
- $\Gamma(t)$ is a sharp (free moving) interface,



Geometry at time t .

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- $\Gamma(t)$ is a sharp (free moving) interface,
- Notations:

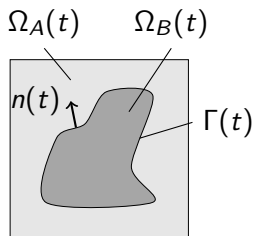
$n(t)$ = outer normal vector of $\Omega_B(t)$,

$W_\Gamma(t)$ = velocity of $\Gamma(t)$ in $n(t)$ direction,

$H_\Gamma(t)$ = mean curvature of $\Gamma(t)$,

$$Q_i = \bigcup_{t \in (0, T)} (\{t\} \times \Omega_i(t)),$$

$$\Sigma = \bigcup_{t \in (0, T)} (\{t\} \times \Gamma(t)).$$



Geometry at time t .

General Scenario

We need to consider the following effects

- Mechanic: Deformations, stresses
- Energy: Internal energy, heat flux, dissipation
- Carbon content: concentration, diffusion, precipitation
- Phase transformation \rightsquigarrow Interface movement
- Transmission conditions at interface \rightsquigarrow Jump conditions



Mechanic

u_i - deformation vectors, P_i - Piola-Kirchhoff stress tensors, θ_i - temperatures ($i \in \{A, B\}$)

$$\rho_A \partial_{tt} u_A - \operatorname{div} P_A = f_{u_A} \quad \text{in } Q_A,$$

$$\rho_B \partial_{tt} u_B - \operatorname{div} P_B = f_{u_B} \quad \text{in } Q_B,$$



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Assumptions:

- 1 Quasi-stationary mechanics

Mechanic

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- 1 Quasi-stationary mechanics
- 2 Linear Thermoelasticity: $P_i = C_i e(u_i) - \alpha_i \theta_i \mathbb{I}_3$,
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Energy balance

e_i - internal energies, Q_i - heat fluxes

$$\begin{aligned}\rho_A \partial_t e_A + \operatorname{div} Q_A &= f_{\theta_A} + P_A : \nabla \partial_t u_A && \text{in } Q_A, \\ \rho_B \partial_t e_B + \operatorname{div} Q_B &= f_{\theta_B} + P_B : \nabla \partial_t u_B && \text{in } Q_B,\end{aligned}$$



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Assumptions:

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Mass balance (carbon)

c_i - carbon concentration, Φ_i - carbon fluxes

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Assumptions:

- 1 Fick's law of diffusion: $\Phi_i = -D_i(\theta_i) \nabla c_i$
- 2 $f_{c\Gamma}$: carbon well due to the precipitation of Fe_3C (*cementite*)



Interface movement

- Common approaches (often scaled to $\theta_{crit} = 0$):

$$\theta = \theta_{crit} \quad (\text{classical condition}),$$

$$\theta = \theta_{crit} + \sigma_0 H_\Gamma \quad (\text{Gibbs-Thomson law})$$

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$$\leadsto W_\Gamma = W_\Gamma(e(u), \theta, c, \text{"geometry of } \Gamma\text{"}).$$

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- Possibilities (β constant of proportionality):

$$W_\Gamma(\theta) = \beta(\theta - \theta_{crit}) \quad (\text{Kinetic undercooling}),$$

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$$W_\Gamma(\theta) = \beta(\theta - \theta_{crit}) \quad (\text{Kinetic undercooling}),$$

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Interface movement

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- In the following: W_Γ a priori known!

Summary of the Model Equations

Model equations for *deformations* u_i , *temperatures* θ_i , and *carbon concentrations* c_i :

$$-\operatorname{div}(C_i e(u_i) - \alpha_i \theta_i \mathbb{I}_3) = f_{u_i} \quad (\text{Momentum bal.}),$$

$$\rho_i c_{di} \partial_t \theta_i + \gamma_i \operatorname{div} \partial_t u_i - \operatorname{div}(K_i \nabla \theta_i) = f_{\theta_i} \quad (\text{Energy bal.}),$$

$$\rho_i \partial_t c_i - \operatorname{div}(D_i(\theta_i) \nabla c_i) = f_{c_i} \quad (\text{Mass bal.}),$$

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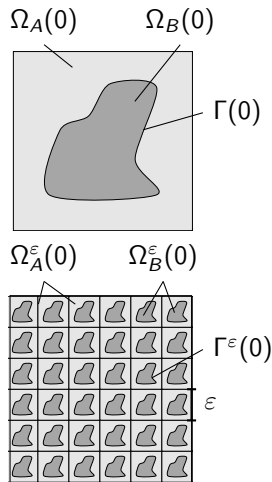
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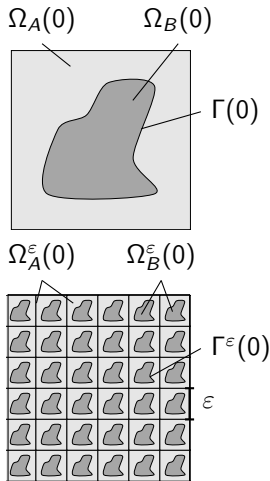
Periodic Setting

- Periodic domains $\Omega_A^\varepsilon(0)$, $\Omega_B^\varepsilon(0)$ and periodic interface $\Gamma^\varepsilon(0)$,
- $\Omega_A^\varepsilon(t) \cap \Omega_B^\varepsilon(t) = \emptyset$,
- $\Gamma^\varepsilon(t) = \partial\Omega_B^\varepsilon(t)$,
- $\Omega_A^\varepsilon(t)$ connected, $\Omega_B^\varepsilon(t)$ disconnected,
- $\Omega = \Omega_A^\varepsilon(t) \cup \Omega_B^\varepsilon(t) \cup \Gamma^\varepsilon(t)$ time independent,
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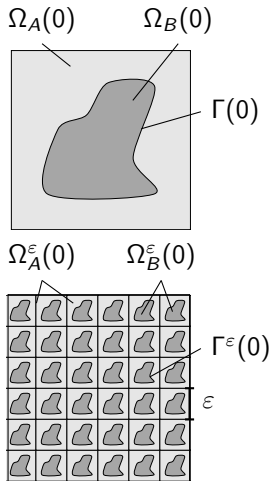
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Interface movement

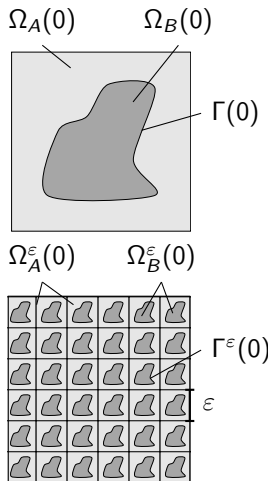
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- there is a motion $s^\varepsilon \in C^1(\bar{S}; C^2(\bar{\Omega}))$ such that

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$$\|\partial_t s^\varepsilon\| \leq C\varepsilon$$



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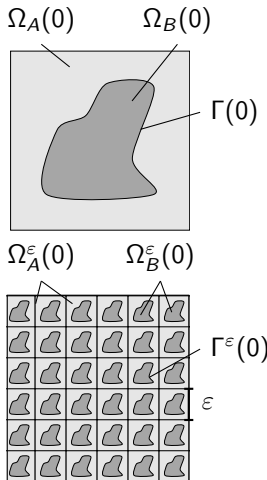
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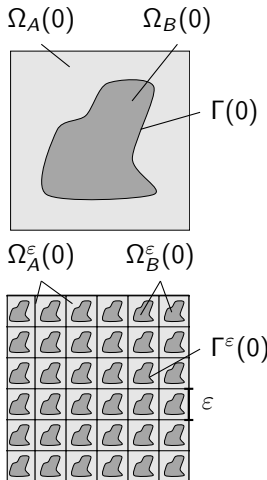
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ε -scaling

Balance equations:



$$\begin{aligned}
 -\operatorname{div}(C_A e(u_A^\varepsilon) - \alpha_A \theta_A \mathbb{I}_3) &= f_{u_A}^\varepsilon, \\
 -\operatorname{div}(\varepsilon^2 C_B e(u_B^\varepsilon) - \varepsilon \alpha_B \theta_B \mathbb{I}_3) &= f_{u_B}^\varepsilon, \\
 \rho_A c_{dA} \partial_t \theta_A^\varepsilon + \gamma_A \operatorname{div} \partial_t u_A - \operatorname{div}(K_A \nabla \theta_A^\varepsilon) &= f_{\theta_A}^\varepsilon, \\
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 \end{aligned}$$

Interface conditions:

$$\begin{aligned}
 [C^\varepsilon \varepsilon(u^\varepsilon) - \alpha^\varepsilon \theta^\varepsilon \mathbb{I}_3] n^\varepsilon &= -H_\Gamma^\varepsilon \sigma_0^\varepsilon n^\varepsilon, \\
 [\rho c_d] \theta^\varepsilon W_\Gamma^\varepsilon + [\gamma \operatorname{div} u] W_\Gamma^\varepsilon - [K^\varepsilon \nabla \theta^\varepsilon] \cdot n^\varepsilon &= L_{AB} W_\Gamma^\varepsilon, \\
 [u^\varepsilon] &= 0, \quad [\theta^\varepsilon] = 0
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(supplemented by boundary conditions and initial conditions).

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Overview

1 Motivation

2 Modeling

3 Analysis

4 Homogenization

5 Future Work

Analysis of the model

- 1 Transform the system to the initial configuration ($u^\varepsilon = U^\varepsilon(s^\varepsilon)$)

$$-\operatorname{div}(C_i^{\text{ref}} e(U_i^\varepsilon) - \Theta_i^\varepsilon \alpha_i^{\text{ref}}) = f_{u_i}^{\text{ref}} \quad \text{in } \Omega_i^\varepsilon, \quad t \in S,$$

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$$-\operatorname{div}(K_i^{\text{ref}} \nabla \Theta^\varepsilon + \Theta^\varepsilon b^{\text{ref}}) = f_{\theta_i}^{\text{ref}} \quad \text{in } S \times \Omega_i^\varepsilon.$$

- 2 Corresponding operator formulation

$$E_C^\varepsilon(t) U^\varepsilon - e_{te}^\varepsilon(t) \Theta^\varepsilon = \mathcal{F}_u^\varepsilon(t) \quad \text{in } H^{-1}(\Omega)^3,$$

$$\frac{d}{dt} (B_\theta^\varepsilon(t) \Theta^\varepsilon + B_u^\varepsilon(t) U^\varepsilon) + A_\theta^\varepsilon(t) \Theta^\varepsilon + A_u^\varepsilon(t) U^\varepsilon = \mathcal{F}_\theta^\varepsilon(t) \quad \text{in } H^1(\Omega)^*.$$

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$$\|\Theta^\varepsilon\|_{L^\infty(S; L^2(\Omega))} + \|\nabla\Theta_A^\varepsilon\|_{L^2(S \times \Omega_A^\varepsilon)^3} + \varepsilon\|\nabla\Theta_B^\varepsilon\|_{L^2(S \times \Omega_B^\varepsilon)^3} \leq C,$$

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Two-scale convergence

Definition

A sequence v^ε in $L^2(S \times \Omega)$ two-scale converges to $v_0 \in L^2(S \times \Omega \times Y)$, ($v^\varepsilon \xrightarrow{2} v_0$), if, for all $\varphi \in L^2(S \times \Omega; C_\#(Y))$, we have that

$$\lim_{\varepsilon \rightarrow 0} \int_Q v^\varepsilon(t, x) \varphi(t, x, \frac{x}{\varepsilon}) dx dt = \int_Q \int_Y v(t, x, y) \varphi(t, x, y) dx dt dy.$$

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Theorem (Nguetseng 1989, Allaire 1992)

Let v^ε be a bounded sequence in $L^2(S \times \Omega)$. Then, there exists a function $v \in L^2(S \times \Omega \times Y)$ such that, at least up to a subsequence, $v^\varepsilon \xrightarrow{2} v_0$.

Theorem (Existence of two-scale limit functions)

There are

$$\begin{array}{ll} u_A \in L^2(S; H_0^1(\Omega))^3 & \text{such that } \partial_t u_A \in L^2(S \times \Omega)^3, \\ U_B \in L^2(S \times \Omega; H_{\#}^1(Y))^3 & \text{such that } \partial_t U_B \in L^2(S \times \Omega \times Y)^3, \\ \theta_A \in L^2(S; H^1(\Omega)) & \text{such that } \partial_t \theta_A \in L^2(S \times \Omega), \\ \Theta_B \in L^2(S \times \Omega; H_{\#}^1(Y)) & \text{such that } \partial_t \Theta_B \in L^2(S \times \Omega \times Y), \\ \hat{U}_A \in L^2(S \times \Omega; H_{\#}^1(Y))^3, & \text{and } \hat{\Theta}_A \in L^2(S \times \Omega; H_{\#}^1(Y)) \end{array}$$

Theorem (Existence of two-scale limit functions)

There are

$$\begin{array}{ll} u_A \in L^2(S; H_0^1(\Omega))^3 & \text{such that } \partial_t u_A \in L^2(S \times \Omega)^3, \\ U_B \in L^2(S \times \Omega; H_{\#}^1(Y))^3 & \text{such that } \partial_t U_B \in L^2(S \times \Omega \times Y)^3, \\ \theta_A \in L^2(S; H^1(\Omega)) & \text{such that } \partial_t \theta_A \in L^2(S \times \Omega), \\ \Theta_B \in L^2(S \times \Omega; H_{\#}^1(Y)) & \text{such that } \partial_t \Theta_B \in L^2(S \times \Omega \times Y), \\ \widehat{U}_A \in L^2(S \times \Omega; H_{\#}^1(Y))^3, & \text{and } \widehat{\Theta}_A \in L^2(S \times \Omega; H_{\#}^1(Y)) \end{array}$$

such that for a subsequence of $(U_A^\varepsilon, U_B^\varepsilon, \Theta_A^\varepsilon, \Theta_B^\varepsilon)$

$$\begin{array}{ll} U_A^\varepsilon \xrightarrow{2} u_A, & e(U_A^\varepsilon) \xrightarrow{2} e(u_A) + e_Y(\widehat{U}_A), \\ U_B^\varepsilon \xrightarrow{2} U_B, & e(U_B^\varepsilon) \xrightarrow{2} e_Y(U_B), \\ \chi_A^\varepsilon \Theta_A^\varepsilon \xrightarrow{2} \chi_A \theta_A, & \chi_A^\varepsilon \nabla \Theta_A^\varepsilon \xrightarrow{2} \chi_A (\nabla \theta_A + \nabla_Y \widehat{\Theta}_A), \\ \chi_B^\varepsilon \Theta_B^\varepsilon \xrightarrow{2} \chi_B \Theta_B, & \varepsilon \chi_B^\varepsilon \nabla \Theta_B^\varepsilon \xrightarrow{2} \chi_B \nabla_Y \Theta_B. \end{array}$$

Homogenized problem

- The macroscopic equations for the austenite:

$$-\operatorname{div} \left(C_A^{\text{eff}} e(u_A) - \alpha_A^{\text{eff}} \theta_A \right) = f_u^{\text{eff}} + H_\Gamma^{\text{eff}} \quad \text{in } S \times \Omega,$$

$$\partial_t \left(c^{\text{eff}} \theta_A + \rho_{BCdB} \int_{Y_B(t,x)} \theta_B \, dy + \gamma_A^{\text{eff}} : \nabla u_A \right) - \operatorname{div} \left(K_A^{\text{eff}} \nabla \theta_A \right) = W_\Gamma^{\text{eff}} + f_\theta^{\text{eff}} \quad \text{in } S \times \Omega.$$

Homogenized problem

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Homogenized problem

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$$\partial_t \left(c^{\text{eff}} \theta_A + \rho_B c_{dB} \int_{Y_B(t,x)} \theta_B \, dy + \gamma_A^{\text{eff}} : \nabla u_A \right) - \operatorname{div} \left(K_A^{\text{eff}} \nabla \theta_A \right) = W_\Gamma^{\text{eff}} + f_\theta^{\text{eff}} \quad \text{in } S \times \Omega.$$

- The microscopic equations for the bainite ($t \in S$, $x \in \Omega$)

$$-\operatorname{div}_Y \left(C_B e_Y(u_B) - \alpha_B \theta_B \mathbb{I}_3 \right) = f_{Bu} \quad \text{in } Y_B(t, x),$$

$$\rho_B c_{dB} \partial_t \theta_B + \gamma_B \partial_t \operatorname{div}_Y u_B - \operatorname{div}_Y \left(K_B \nabla_Y \theta_B \right) = f_{\theta_B} \quad \text{in } Y_B(t, x),$$

$$u_B = u_A, \quad \theta_B = \theta_A \quad \text{on } \partial Y_B(t, x).$$



Overview

1 Motivation

2 Modeling

3 Analysis

4 Homogenization

5 Future Work



- Simplified problem:
 - Corrector estimates
 - Properties of the homogenized problem
- Full problem: (with unknown interface movement)
 - highly nonlinear, but there are results (Escher et al., 2003, Prüss et al., 2013)
 - Local in time solution: What happens when $\varepsilon \rightarrow 0$?
 - Also a problem: establishing ε estimates



Thank you for listening.

Tack så mycket för er
uppmärksamhet!

Danke für die Aufmerksamkeit!